

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water

Date Mailed to Service List: August 27, 2019

District: Sacramento District

CPUC Utility #: U210W

Protest Deadline (20th Day): March 28, 2019

Advice Letter #: 1214-B

Review Deadline (30th Day): April 8, 2019

Tier 1 2 3 Compliance

Requested Effective Date: October 1, 2019

Authorization D.15-04-007

Rate Impact: \$See AL
See AL%

Description: Supplemental Filing for Sacramento District
Advice letter projects Chromium VI –
Sacramento and Dunnigan (I15-600081 and
I15-630001)

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Kamilah Jones

Utility Contact: Jonathan Morse

Phone: 916-568-4232

Phone: 916-568-4237

Email: Kamilah.Jones@amwater.com

Email: Jonathan.Morse@amwater.com

DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

DATE

STAFF

COMMENTS

APPROVED

WITHDRAWN

REJECTED

Signature: _____

Comments: _____

Date: _____



4701 Beloit Drive
 Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
 F (916) 568-4260

August 26, 2019

ADVICE LETTER NO. 1214-B

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (“Cal-Am”) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Sacramento District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
XXXX-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICE	9086-W
XXXX-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICE	9087-W
XXXX-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICE	9089-W
XXXX-W	Schedule No. ND-1 (Continued) Northern Division Tariff Area GENERAL METERED SERVICE	9165-W
XXXX-W	Schedule No. CA-LIRA Sacramento District Tariff Area LOW INCOME RATEPAYER ASSISTANCE SERVICE	9090-W
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Purpose:

This supplemental advice letter is being filed to reflect the 2019 escalation year rates and rate design incorporated into AL 1234-A, resulting from D.18-12-021.

This supplemental advice letter filing requests the recovery of costs associated with Hexavalent Chromium treatment projects in the Sacramento (Project I15-600081) and Dunnigan (Project I15-630001) service areas. Specifically, California American Water (Cal-Am) seeks recovery of \$10,522,761 of capital and \$8,880 of annual incremental operating expenses. Cal-Am seeks recovery of the \$858,329 of incremental revenue requirement and expenses tracked in the Chromium 6 Memorandum Accounts through August 31, 2018, and recovery of \$1,355,287 in revenue related to total project costs in rates going forward effective October 1, 2019. Additionally, Cal-Am requests that the Chrome-6 memo account remain open to track incremental revenue requirement and expenses incurred for the period between September 1, 2018 and the effective date of this advice letter.

Background:

On September 19, 2018, CAW filed Advice Letter 1214 requesting recovery of project costs for the Sacramento and Dunnigan Hexavalent Chromium Treatment projects tracked in the Los Angeles County and Sacramento Districts Chromium-6 Memorandum Account (memo account). As described in the memo account, and as further detailed in AL 1214, Cal-Am is requesting a) inclusion of the revenue requirements for the authorized projects in rates going forward, and b) a surcharge to recover the incremental revenue requirement and expenses tracked in the memo accounts. Additionally, Cal-Am requests that the Chrome-6 memo account remain open to track incremental revenue requirement and expenses incurred for the period between September 1, 2018 and the effective date of this advice letter. This Advice Letter is designated as Tier 3 in compliance with the regulatory procedures outlined within the memo account.

Subsequent to the AL 1214 filing CAW responded to discovery requests from Cal PA regarding the project costs and the proposed recovery. After responding to discovery requests CAW and Cal PA met to discuss the project costs and project workpapers. Based on these discussions and on the information provided CAW and Cal PA agreed to the adjustments outlined below and CAW filed AL 1214-A on March 8, 2019 to address these points

- Revise the Asset Cost Summary table provided in AL 1214 Appendix A to match the AL 1214 workpapers. The updated Asset Cost Summary is included as Attachment A to this Advice Letter. This revision has no rate impact.
- Correct for discrepancies between depreciation base as presented in different sections of the workpapers. Depreciation bases included for revenue requirement calculations are based on total project costs less the adjustments incorporated via this supplemental advice letter filing.
- In an effort to resolve disputed issues between the parties with Advice Letter 1214 parties agree to temporary removal of overhead amounts accrued to the Chromium-6 projects in the Test Year 2018 in the amount of \$78,385 (\$37,579 related to the Dunnigan Cr6 project and \$40,806 related to the Sacramento Cr6 project). Parties agree that this adjustment is made for temporary ratemaking purposes and overhead will be trued up in future filings.
- Remove \$12,776 in legal expenses from the Sacramento Chromium-6 project.
- Remove \$33,921 in contractor costs from the Dunnigan Cr6 due to lack of original contractor invoice.

- Update workpapers to reflect authorized ad valorem rate (1.1794%) and franchise fee (0.2300%) factors at time of advice letter filing.
- Adjust project costs to reflect invoice amounts. This adjustment affects various transactions and results in a net project decrease of \$183.

Finally, in AL 1214-A CAW noted that it anticipated it would file an additional supplement which reflected the 2019 escalation year rates coming out of D.18-12-021. With the approval of AL 1234-A, CAW is submitting this supplement to AL 1214 to reflect the rates and rate design incorporated in the 2019 escalation year filing.

Revised Request:

Based on the adjustments discussed above, and in compliance with the Regulatory Procedures outlined in the memorandum account description, and assuming the requested effective date of October 1, 2019, Cal-Am hereby requests authorization for recovery as follows:

- a) **Inclusion of the revenue requirements for the authorized projects in rates going forward.** Cal-Am here by requests authorization to increase the rates in the Sacramento and Dunnigan service areas to reflect a revenue requirement increase of \$1,355,287 or 2.48% of revenue requirement authorized in 1234-A, CAW's 2019 escalation year filing. D.15-11-012 approved consolidation of the Dunnigan service area with the Sacramento service area for ratemaking treatment. D.18-12-021 approved consolidation of Dunnigan rates with Sacramento rates, and this consolidation was implemented through AL 1230-B, CAW's 2018 test year filing. Thus, in order to spread the costs of the Dunnigan related projects Cal-Am has spread requested costs based on service connections in the Sacramento and Dunnigan service areas.
- b) **A surcharge to recover the incremental revenue requirement and expenses tracked in the memo accounts.** Cal-Am here by requests authorization to recover the \$858,329 of incremental revenue requirement and expenses tracked in the memorandum account through August 31, 2018 via a surcharge of \$.0097 per 100 gallons for customers in the Sacramento County District.

Cal-Am further requests the Chrome-6 memo account remain open to track incremental revenue requirement and operating expenses incurred, between Sept 1st, 2018 through the effective date of the advice letter for reviewing in the subsequent GRC filing.

Revised tariffs submitted with this filing are based on revenue requirement and rate design in CAW's 2019 escalation year filing, AL 1234-A coming out of D.18-12-021.

Tier Designation:

Pursuant to the Regulatory Procedure provided in the approved memorandum account, this advice letter is submitted with a Tier 3 designation.

Effective Date:

California American Water requests an effective date of October 1, 2019.

Notice:

In accordance with Section 4.3 of General Order 96-B, a copy of this advice letter has been served upon all interested and affected parties as shown in Exhibit A.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:kamilah.jones@amwater.comsarah.leeper@amwater.comca.rates@amwater.com**Mailing Address:**

4701 Beloit Drive
Sacramento, CA 95838

555 Montgomery Street, Ste. 816
San Francisco, CA 94111

4701 Beloit Drive
Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4232.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Wes Owens

Wes Owens

Director, Rates and Regulatory

³ G.O. 96-B, General Rule 7.4.3

Schedule No. ND-1
Northern Division Tariff Area
GENERAL METERED SERVICES

Sheet 1

APPLICABILITY

Applicable to all metered water service.

SACRAMENTO TARIFF AREA

TERRITORY

The unincorporated communities, subdivisions, and adjacent areas generally known as Cordova, Rosemont, Parkway Estates, Lindale, Foothill Farms, Arlington Heights, Linwood, Loretto Heights, Arden Highlands, Arden Estates and Sunrise Security Park, and Ox-Bow. A part of the City of Citrus Heights and the communities of Antelope and Sabre City in Sacramento and Placer counties. The city of Isleton and vicinity and the unincorporated community of Walnut Grove in Sacramento County. The lower southwestern portion of Placer County, including the areas known as Morgan Creek, Doyle Ranch, Sun Valley Oaks, and Riolo Greens. Dunnigan, along both sides of Interstate 5, between County Roads 2 on the north and County Road 9 on the south, Yolo County. Geyserville and vicinity, Sonoma County.

RATES

Quantity Rates:

	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
<u>Residential Customers:</u>		
For the first 74.8 CGL	\$0.3831	(1)
For next 74.8 CGL.....	\$0.5137	
For all water delivered over 149.6 CGL	\$0.8040	
 <u>All Other Customers:</u>		(1)
For all water delivered, per CGL	\$0.4467	

Service Charge: General Metered

	<u>Per Meter</u>	
	<u>Per Month</u>	
For 5/8 x 3/4-inch meter.....	\$14.39	(1)
For 3/4-inch meter.....	\$21.59	
For 1-inch meter.....	\$35.98	
For 1-1/2-inch meter.....	\$71.95	
For 2-inch meter.....	\$115.12	
For 3-inch meter.....	\$215.85	
For 4-inch meter.....	\$359.75	
For 6-inch meter.....	\$719.50	
For 8-inch meter.....	\$1,151.20	
For 10-inch meter.....	\$1,654.85	
For 12-inch meter.....	\$3,093.85	(1)

The Service Charge is a readiness-to-serve charge applicable to all general metered services. It is added to the charge for water furnished, which is based on Quantity Rates.

(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
Advice 1214-B	J. T. LINAM	Date Filed August 27, 2019
Decision	DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

Schedule No. ND-1
 Northern Division Tariff Area
GENERAL METERED SERVICES

Sheet 2

SACRAMENTO TARIFF AREA RATES (Continued):

Service Charge: Residential Fire Protection Service (R.F.P.S.)

	<u>Per Meter</u> <u>Per Month</u>	(I)
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler.....	\$21.35	
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler.....	\$24.96	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler.....	\$26.18	
For 3/4-inch residential to 1-inch residential metered fire sprinkler.....	\$31.11	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler.....	\$34.72	
For 3/4-inch residential to 2-inch residential metered fire sprinkler.....	\$35.92	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler.....	\$53.86	
For 1-inch residential to 2-inch residential metered fire sprinkler.....	\$54.87	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler.....	\$102.68	

The Service Charge is a readiness-to-serve charge applicable to all Residential Fire Protection Service metered services only. It is added the charge for water furnished which is computed at Quantity Rates. The service charge for Residential Fire Protection Service (RFPS) is based on the meter size a customer would require without RFPS and the upsized meter that customer then requires because of the addition of the fire sprinkler system.

LARKFIELD TARIFF AREA RATES

TERRITORY

The unincorporated areas known as the Larkfield and Wikiup subdivisions and the community of Fulton, three miles north of Santa Rosa, Sonoma County.

RATES

Quantity Rates:

	Base Rate Per 100 gal (CGL)
<u>Residential Customers:</u>	
For the first 52.4 CGL	\$0.8898
For the next 52.4 CGL	\$0.9619
For the next 194.5 CGL	\$1.3683
For all water delivered over 299.3 CGL.....	\$1.7795

All Other Customers:

For all water delivered, per CGL \$0.9619

(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
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		Resolution _____

Schedule No. ND-1
Northern Division Tariff Area
GENERAL METERED SERVICES

Sheet 4

MEADOWBROOK TARIFF AREA

TERRITORY

The unincorporated area known as Meadowbrook Tract, including Nos. 1 and 2, located approximately 1-1/2 miles northwest of the city of Merced, Merced County.

RATES

Quantity Rates:

	<u>Base Rate</u>	(l)
	<u>Per 100 gal (CGL)</u>	
<u>All Customers:</u>		
For the first 112 CGL	\$0.1708	
For all water delivered over 112 CGL	\$0.2099	(l)

Service Charge: General Metered

	<u>Per Meter</u>	(l)
	<u>Per Month</u>	
For 5/8 x 3/4-inch meter.....	\$22.32	
For 3/4-inch meter.....	\$22.32	
For 1-inch meter.....	\$55.81	
For 1-1/2-inch meter.....	\$111.61	
For 2-inch meter.....	\$178.58	
For 3-inch meter.....	\$334.84	
For 4-inch meter.....	\$558.07	
For 6-inch meter.....	\$1,116.15	(l)

The Service Charge is a readiness-to-serve charge, which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1214-B	J. T. LINAM	Date Filed August 27, 2019
Decision	DIRECTOR - Rates & Regulatory	Effective _____
		Resolution _____

Schedule No. ND-1 (Continued)
Northern Division Tariff Area
GENERAL METERED SERVICES

Sheet 6

SPECIAL CONDITIONS (Continued):

Fees and Surcharges

SACRAMENTO AND MEADOWBROOK TARIFF AREAS

3. Per Advice Letter 1230-B, the under-collected balance in the Sacramento County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0133 per 100 gallons over 12 months effective, May 1, 2019. The total amount will be recovered from all classes of customers.
4. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning August 1, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.99
3/4	\$1.48
1	\$2.46
1 1/2	\$4.93
2	\$7.88
3	\$14.78
4	\$24.63
6	\$49.26
8	\$78.82
10	\$113.30

5. Per Advice Letter 1214-B, the balance accrued in the Sacramento Districts Chromium-6 Memorandum Account through August 31, 2018 will be recovered through a quantity based surcharge of \$0.0097 per 100 gallons for a 12-month period. The effective date for the surcharge is August 1, 2019

(N)
|
(N)

SACRAMENTO TARIFF AREA

1. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). Per D.18-12-021, the cap on WRAM/MCBA surcharges was raised to 15% of the authorized revenue requirement. For the period ending December 31, 2017, the net under-collection totals \$18,955,673 including interest. The surcharge is \$0.0617 per 100 gallons and will remain effective for 36 months beginning March 31, 2018.

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

All territories served by California American Water Company

RATES:

**Northern Division:
Sacramento District**

RATES

Quantity Rates:

	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
<u>Residential Customers:</u>		
For the first 74.8 CGL	\$0.3065	(I)
For next 74.8 CGL.....	\$0.4109	
For all water delivered over 149.6 CGL	\$0.8040	(I)

Service Charge: General Metered

	<u>Per Meter</u>	
	<u>Per Month</u>	
For 5/8 x 3/4-inch meter.....	\$11.51	(I)
For 3/4-inch meter.....	\$17.27	
For 1-inch meter.....	\$28.78	
For 1-1/2-inch meter.....	\$57.56	
For 2-inch meter.....	\$92.10	
For 3-inch meter.....	\$172.68	
For 4-inch meter.....	\$287.80	
For 6-inch meter.....	\$575.60	
For 8-inch meter.....	\$920.96	
For 10-inch meter.....	\$1,323.88	
For 12-inch meter.....	\$2,475.08	(I)

Larkfield District

Quantity Rates:

	<u>Base Rate</u>
	<u>Per 100 gal (CGL)</u>
For the first 52.4 CGL	\$0.7118
For the next 52.4 CGL.....	\$0.7695
For the next 139.4 CGL.....	\$1.3683
For all water delivered over 243.9 CGL	\$1.7795

(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
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California-American Water Company

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Los Angeles County

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<u>RATE SCHEDULES:</u>	
All Districts -- Low Income Ratepayer Assistance Program	
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Northern District			
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San Diego County District			
SD-1	General Metered Service	8904-W, 8992-W, 9133-W, 9183-W	
Ventura County District			
VN-1	General Metered Service	8896-W, 8897-W, 9134-W, 9179-W	
VN-9MC	Metered Construction Service	9180-W, 9181-W, 9182-W	
Schedule No. CA-Fees	Schedule No. CA-Fees	9003-W	

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
Advice	1214-B	J. T. LINAM	Date Filed	August 27, 2019
Decision		DIRECTOR - Rates & Regulatory	Effective	_____
			Resolution	_____

**SACRAMENTO DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1214-A**

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